

12/04/01
U.S. PRO12-07-01
APlease type a plus sign (+) inside this box PTO/SB/05 (03-01)
Approved for use through 10/31/2002, OMB 0651-0032U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | 16675/94636-00 |
| First Inventor | Chee Hung Ben Choi |
| Title | Method and Apparatus for Individualizing and Updating a Directory of Computer Files |
| Express Mail Label No. | EL780351020US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 20]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

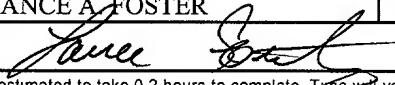
Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19 CORRESPONDENCE ADDRESS

| | | | | | |
|--|--|-----------|----------------|--|----------------|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) | | | <input checked="" type="checkbox"/> Correspondence address below | |
| Name | Lance A. Foster | | | | |
| Address | Jones, Walker, Waechter, Poitevent, Carrère & Denègre, L.L.P. 5th Floor, Four United Plaza; 8555 United Plaza Boulevard | | | | |
| City | Baton Rouge | State | Louisiana | Zip Code | 70809 |
| Country | USA | Telephone | (225) 248-2106 | Fax | (225) 248-3006 |

| | | | |
|-------------------|---|-----------------------------------|--------------|
| Name (Print/Type) | LANCE A. FOSTER | Registration No. (Attorney/Agent) | 38,882 |
| Signature |  | | Date 4/16/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

12/04/01
U.S. PRO
10/00502612/04/01
U.S. PRO

12/04/01
JCS 65 U.S. PTO

PTO/SB/17 (11-01)

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U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 578.00)

Complete if Known

| | |
|----------------------|--------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Chee Hung Ben Choi |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | 16675/94636-00 |

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number
Deposit Account Name

| |
|------------------------|
| Deposit Account Number |
| Deposit Account Name |

The Commissioner is authorized to

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|--------------|--------------|------------------------|----------|
| 101 740 | 201 370 | Utility filing fee | 370 |
| 106 330 | 206 165 | Design filing fee | |
| 107 510 | 207 255 | Plant filing fee | |
| 108 740 | 208 370 | Reissue filing fee | |
| 114 160 | 214 80 | Provisional filing fee | |

SUBTOTAL(1) (\$ 370.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | Fee from Extra Claims below | Fee Paid |
|--------------------|----|--------------------------------|-----------|
| Total Claims | 34 | -20** = 14 | X 9 = 126 |
| Independent Claims | 4 | -3** = 1 | X 42 = 42 |
| Multiple Dependent | | | |

| Large Entity | Small Entity | Fee Description |
|--------------|--------------|--|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 84 | 202 42 | Independent claims in excess of 3 |
| 104 280 | 204 140 | Multiple dependent claim, if not paid |
| 109 84 | 209 42 | • * Reissue independent claims over original patent |
| 110 1 B | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 168.00)

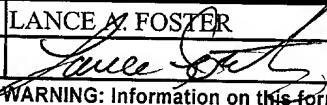
**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|------------------------------------|--------------|--|----------|
| 106 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for ex parts reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 400 | 216 200 | Extension for reply within second month | |
| 117 920 | 217 460 | Extension for reply within third month | |
| 118 1,440 | 218 720 | Extension for reply within fourth month | |
| 128 1,960 | 228 98() | Extension for reply within fifth month | |
| 119 320 | 219 160 | Notice of Appeal | |
| 120 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 280 | 221 140 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,280 | 241 640 | Petition to revive -unintentional | |
| 142 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 460 | 243 230 | Design issue fee | |
| 144 620 | 244 310 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | |
| • Reduced by Basic Filing Fee Paid | | | |
| SUBTOTAL (3) (\$ 40.00) | | | |

SUBMITTED BY

| | | | | | |
|-------------------|---|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | LANCE A. FOSTER | Registration No. (Attorney/Agent) | 38,882 | Telephone | (225) 248-2106 |
| Signature |  | | | Date | 1/4/2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

| | | |
|---|----------------------|---|
| NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(113)(1) | First Named Inventor | Chee Hung Ben Choi |
| | Title | Method and Apparatus for Individualizing and Updating a Directory of Computer Files |
| | Atty Docket Number | 16675/94636-00 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

30 NOV 01

Date



Signature

LANCE A. FOSTER

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

IN THE UNITED STATES OF AMERICA

PATENT AND TRADEMARK OFFICE

APPLICANT: Chee Hung Ben Choi

TITLE: Method and Apparatus for Individualizing and Updating a Directory of Computer Files

ATTORNEY DOCKET NO.: 16675/94636-00

EXPRESS MAIL CERTIFICATE

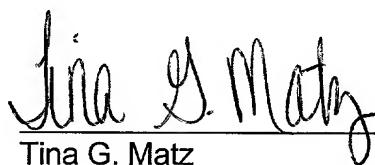
"Express Mail" Label Number EL780351020US

Date of Deposit: December 4, 2001

I hereby certify that the following attached paper or fee:

- Fee Transmittal (1 pg.);
- Utility Patent Application Transmittal (1 pg.);
- Declaration for Utility or Design Patent Application (2 pgs.);
- Non-Publication Request (1 pg.);
- Recordation Form Cover Sheet (1 pg.);
- Assignment of Application (1 pg.);
- Patent Application (14 pgs. Specification, 5 pgs. Claims, 1 pg. Abstract);
- 10 Sheets of Drawings;
- Check For \$578.00 (\$538.00 filing fee & \$40.00 assignment recordation fee); and
- Stamped, return postcard;

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box NEW PATENT APPLICATION, Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.



Tina G. Matz